



RULES FOR THE AWARDING OF THE RESEARCH AND INNOVATION LIFETIME ACHIEVEMENT AWARD

Reference number	9P_9.4.8_LA
Accountable executive manager	Deputy Vice-Chancellor: Research and innovation
Policy Owner	Director: Research Support
Responsible division	Research Support
Status	Approved
Approved by	Council
Date of approval	22 June 2023
Date of amendments	
Review date	22 June 2026

RULES FOR THE AWARDING OF THE RESEARCH AND INNOVATION LIFETIME ACHIEVEMENT AWARD

Preamble

Against the background of the dream to be an internationally recognised university in Africa, distinguished for engaged scholarship, social responsiveness and an ethic of care, the council of the North-West University (NWU) has adopted the Rules for the Awarding of the Research and Innovation Lifetime Achievement Award on 22 June 2023.

1 Aim

The Research and Innovation Lifetime Achievement Award- represents the highest and the most prestigious award in Research and Innovation. It may be granted to recognise and celebrate an extraordinary scholar who has made a seminal scholarly or scientific contribution to the advancement of a discipline through research, innovation, or creative outputs or has attained an outstanding lifetime scholarly achievement and has been in the relevant scientific domain at least over the last fifteen (15) years. No more than two (2) awards shall be granted in any given year and there may be years in which no awards are made.

2 Eligibility

Candidates can be nominated from any NWU Faculty or field as indicated below (alphabetically):

- Economic and Management Sciences
- Education
- Engineering
- Health Sciences
- Humanities
- Law
- Natural and Agricultural Sciences
- Theology

Candidates must be associated with the NWU either as permanent or fixed-term staff. An NRF-rating is not a requirement for nomination.

3 Nomination procedure

The nominee must complete a nomination form and provide a comprehensive CV and supporting documents. Self-nomination is possible. The entire documentation should be submitted in electronic format the host Faculty. The relevant Executive Dean, after consultation with the Faculty Board, shall submit the motivation and entire documentation to Prof Nnnesi Kgabi (Nnnesi.kgabi@nwu.ac.za) who shall serve as the Secretariat. Only one candidate shall be nominated per faculty.

4 Adjudication panel

- 4.1 The adjudication panel shall comprise the DVC for Research and Innovation, directors for Research Support, Chief Director for TTIS and Faculty representatives (Deputy Deans for Research and Innovation or designates).
- 4.2 The adjudication panel may co-opt up to three (3) specialists in the relevant scientific domain or field in which the nomination has been made for advice and input.

- 4.3 The mandate of the adjudication panel is to evaluate the suitability of nominated candidates against the criteria for the award and reach a consensus on one (1) or two (2) most suitable candidates based on scores from nomination forms (and if necessary, considering additional input by co-opted specialists).
- 4.4 The name(s) of the most suitable candidates, together with the completed nomination forms and comprehensive CVs, and a summary report of all other nominated candidates, shall be forwarded to Senate for approval.
- 4.5 The final decision and accountability concerning the award reside with Senate.

5 Evaluation criteria

The candidates are expected to provide evidence of exceptional contributions in the relevant scientific domain (Agricultural, Engineering, Health, Humanities and Arts, Natural and Social Sciences in five (5) areas (details in Annexure 1):

- 5.1 Meritorious or exceptional accomplishment, breakthrough or contribution to the advancement of the scientific domain or generation of new knowledge and how the contribution has had international impact and/or recognised nationally or internationally.
 - At least one (1) meritorious or exceptional accomplishment, breakthrough or contribution in the relevant scientific domain acclaimed as national or international level.
 - Impact on commercial, economy, social responsiveness, policy or practice.
 - Any other meritorious achievement, e.g. NRF-rated as an international scholar.
- 5.2 Quality and quantity of Research, Innovation or creative outputs (NB: all listed scientific papers, books and book chapters will be validated by the Library and Information Services and/or Research Support Department).
 - Evidence of impactful publications as first, senior or main author.
 - Evidence of lifetime records of publications regardless of author position.
 - Evidence of lifetime records of innovation and/or creative outputs.
- 5.3 Human capacity development including promotion of participation of young researchers, women in the relevant scientific domain and mentorship in line with national imperatives.
 - Number of PG (Master and Doctoral) graduated, inclusive of black and women students.
 - Number of Post-doctoral Fellows supervised and mentored, inclusive of black and women fellows.
 - Mentorship of young researchers.
- 5.4 Distinguished service in the relevant scientific domain
 - Members and role in national and international panels, advisory groups and working groups in the field of expertise.
 - Recipient of other prestigious awards or scientific achievements.
 - Promotion of the public understanding of the scientific domain.
- 5.5 Demonstrable record of external fundraising for Research and/or Innovation or creative outputs.
 - Number of national grants received (research funders, industry, government departments, NGO, philanthropists, foundations, etc).
 - Number of international grants received (research funders, industry, government departments, NGO, philanthropists, foundations, etc).

6 Type of award

A platinum medal and elegantly framed certificate.

7 Presentation ceremony

The award is presented at the Vice-Chancellor's NWU Excellence Awards event held annually.

8 Deviation from the rules

Deviation from the rules may in certain cases be allowed with permission of the Vice-Chancellor or his/her delegate.

ANNEXURE 1

As a guideline, the below criteria will be part of the Nomination Form. The candidates should provide evidence of exceptional contribution in the relevant scientific domain in five (5) areas listed below.

Criteria for assessment (breakdown on nomination form)

- a) Contribution to the advancement of the relevant scientific domain, or generation of new knowledge and how the contribution has had international impact and/or recognised nationally or internationally (weight: 25%)
 - At least one (1) exceptional accomplishment, breakthrough or contribution in the relevant scientific domain acclaimed at national or international level.
Descriptive narrative
 - Impact on commercial, economy, social responsiveness, policy or practice.
Descriptive narrative
 - Any other meritorious achievement
Descriptive narrative
- b) Quality and quantity of Research, Innovation or creative outputs in the relevant scientific domain (weight: 30%)
 - **Evidence of impactful publications as first author, senior or main author.**
Table 1: Evidence of impactful publications as first, senior or main author.

Description
Number of lifetime publications in accredited journals as recognised by the Department of Higher Education and Training (DHET)
Total number of citations of lifetime publications in accredited journals as recognised by DHET
List five (5) publications with the highest number of citations as first author and/or senior author journal

- **Evidence of lifetime record of research outputs regardless of author position**
Table 2: Evidence of lifetime research outputs regardless of author position

Description
Total number of lifetime publications in accredited journals regardless of author position
Total number of citations of lifetime publications in accredited journals regardless of author position
Number of published books
Number of chapters in books
Number of peer-reviewed conference proceedings
The Hirsch-index (H-index) of candidate – average of Google, Web of Science and Scopus

- **Evidence of lifetime record of innovation and/or creative outputs**
Descriptive narrative of innovation and/or creative outputs
- c) Notable human capacity development including promotion of participation of young researchers, women in science and mentorship in line with national imperatives (weight: 15%)
 - Number of PG (M and D) graduated, inclusive of black and women students.

Student number	Student name	Ethnicity	Gender	Degree registered	Graduation date

- Number of Post-Doctoral Fellows supervised and mentored, inclusive of black and women fellows

Student name	Ethnicity	Gender	Degree started	Date completed

- Mentorship of young researchers
Narrative of mentorship of specific young researchers.

d) Distinguished service in the relevant scientific domain (weight: 10%)

- Member and role in national and international panels, advisory groups and working groups in field of expertise.

Narrative or a listing

- Recipient of other prestigious awards and/or scientific achievements

Narrative or a listing

- Promotion of the public understanding of the relevant scientific domain

Narrative or a listing (public lectures, media, magazines, etc).

e) Demonstrable record of external fundraising for Research, Innovation or creative outputs (weight: 20%)

- Number of national grants received (research funders, industry, government departments, NGO, philanthropists, foundations, etc).

Grant name	Source	Year granted	Principal investigator or Co-investigator	Amount
Total				

- Number of international grants received (research funders, industry, government departments, NGO, philanthropists, foundations, etc).

Grant name	Source	Year granted	Principal investigator or Co-investigator	Amount
Total				

Original details: (11664754) C:\Users\11664754\Documents\Temp\9P_9.4.8_LA\Rules for the Awarding of the Research and Innovation Lifetime Achievement Award.docm
27 June 2023

Current details: (11664754) https://nwuac.sharepoint.com/sites/NWU_RAM-PFR2/Shared%20Documents/Rules%20for%20Awarding%20of%20the%20Lifetime%20Research%20Achievement%20Award\Rules for the Awarding of the Research and Innovation Lifetime Achievement Award.docm
27 June 2023

File reference: 9P_9.4.8_LA